



PATENT
Docket No. 110.00500104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: PUI et al.)	Group Art Unit: 1762
)	
Serial No.: 10/809,660)	Examiner: JAMES S. KETTER
Confirmation No.: 7495)	
)	
Filed: March 25, 2004)	
)	
For: <u>ELECTROSPRAYING APPARATUS AND METHOD FOR COATING PARTICLES</u>		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials cited herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Per M.P.E.P. § 609, the information cited in the present Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of the document listed on the attached 1449 form is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 form, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.

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Supplemental Information Disclosure Statement

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Applicants: Pui et al.

Serial No.: 10/809,660

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For: ELECTROSPRAYING APPARATUS AND METHOD FOR COATING PARTICLES

The Examiner is invited to contact Applicants' Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 13th day of Sept, 2006.

Name: Mark Gebhardt

13 Sept 2006
Date

MJG/sjt

Respectfully submitted for Pui et al.,
PUI et al.

By
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By: 

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IPW

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Applicant(s): PUI et al. Group Art Unit: 1762
Serial No.: 10/809,660 Examiner: : James S. Ketter
Filed: March 25, 2004 Docket No.: 110.0050 0104
Confirmation No.: 7495
Title: ELECTROSPRAYING APPARATUS AND METHOD FOR COATING PARTICLES

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

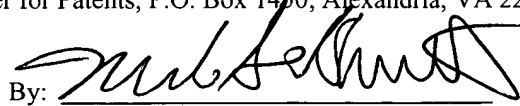
- ☒ **Small entity status is entitled to be asserted in the above-identified application.**
☒ **An itemized return postcard.**
A Petition for Extension of Time for __ month(s) and a check in the amount of \$__ for the required fee.
☒ **An Information Disclosure Statement (2 pgs); 1449 forms (3 pgs); and copies of 11 documents cited on the 1449 forms.**
A request for continued examination (RCE) and a check in the amount of \$__, for the required filing fee.
An Appeal Brief and a check in the amount of \$__, for the required Appeal Brief filing fee.
A check in the amount of \$__, representing ____.
A certified copy of a __ application, Serial No. __, filed _____, the right of priority of which is claimed under 35 U.S.C. §119.
Other: ____.
Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$25 =	
Independent Claims				x \$100 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$180 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

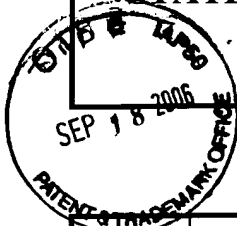
CERTIFICATE UNDER 37 C.F.R. §1.8: The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 13th day of Sept, 2006.

MUETING, RAASCH & GEBHARDT, P.A.
Customer Number: 26813

By: 
Name: Mark J. Gebhardt
Reg. No.: 35,518
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Facsimile: 612-305-1228

**INFORMATION
DISCLOSURE
STATEMENT****Atty. Docket No.:** 110.00500104**Serial No.:** 10/809,660**Applicants:** PUI et al.**Confirmation No.:** 7495**Application Filing Date:** 03/25/04**Group:** 1762

Information Disclosure Statement mailed: September 13, 2006

**U.S. PATENT DOCUMENTS**

Examiner Initial	Copy Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		6,143,037	11/07/00	Goldstein et al.			
		6,207,195	03/27/01	Walsh et al.			
		6,277,448	08/21/01	Strutt et al.			
		6,579,573	06/17/03	Strutt et al.			
		6,632,671	10/14/03	Unger			
		6,645,569	11/11/03	Cramer et al.			
		6,670,607	12/30/03	Wood et al.			
		6,737,463	05/18/04	Yadav et al.			
		6,933,331	08/23/05	Yadav et al.			
		2002/0151004	10/17/02	Craig			
		2004/0081745	04/29/04	Hansen			
		2005/0015046	01/20/05	Weber et al.			
		2005/0023368	02/03/05	Valpey, III et al.			
		2005/0055078	03/10/05	Campbell			
		2005/0064008	03/24/05	Bucay-Couto et al.			
		2005/0074478	04/07/05	Ofstead et al.			
		2005/0075714	04/07/05	Cheng et al.			
		2005/0101020	05/12/05	Salem et al.			
		2005/0149177	07/07/05	Weber et al.			
		2005/0158372	07/21/05	O'Leary et al.			
		2005/0222485	10/06/05	Shaw et al.			
		2005/0277577	12/15/05	Hunter et al.			
		2006/0002973	01/05/06	Barry et al.			

EXAMINER**Date Considered**

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 110.00500104	Serial No.: 10/809,660
	Applicants: PUI et al.	Confirmation No.: 7495
	Application Filing Date: 03/25/04	Group: 1762
	Information Disclosure Statement mailed: September 13, 2006	

Examiner Initial	Copy Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		2006/0024810	02/02/06	Khadkikar et al.			
		2006/0038027	02/23/06	O'Connor et al.			
		2006/0062820	03/23/06	Gertner et al.			
		2006/0067968	03/30/06	Chudzik et al.			
		2006/0078922	04/13/06	Edwards et al.			
		2006/0088566	04/27/06	Parsonage et al.			
		2006/0099235	05/11/06	Blakstvedt et al.			
		2006/0100568	05/11/06	Tan			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Copy Enclosed	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	X	2520702	10/28/04	CA				
	X	1651604	08/10/05	CN (English translation of Abstract Only)				X
	X	1997/13503	04/17/97	WO				
	X	2003/028622	04/10/03	WO				
	X	2006/003504	01/12/06	WO				

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Copy Enclosed	Document Description
	X	Ré. "Formulating Drug Delivery Systems by Spray Drying," 2006. <i>Drying Technology</i> . Vol. 24, No. 4, pp.433-446(14).
	X	Salata. "Tools of Nanotechnology: Electrospray," 2005. <i>Current Nanoscience</i> , Volume 1, Number 1, pp. 25-33(9)
	X	Shi et al., "Current advances in sustained-release system for parenteral drug delivery," 2005. <i>Expert Opinion on Drug Delivery</i> , Vol. 2, No. 6. Abstract Only.

EXAMINER	Date Considered
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

